

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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Application No.

09/760,120

Filing Date:

January 12, 2001

First Named Inventor

Sarah S. Bacus

Group Art Unit

1641

Examiner Name

Attorney Docket No.

01-033

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Figures Appear
		Number	Kind Code ² (if known)			
SS		6,165,734		Buckwald et al. <i>Carini et al.</i>		
SS		5,998,151		Fisher et al. <i>Johnston et al.</i>		
SS		5,514,554		Sarah Bacus		
SS		5,202,931		Sarah Bacus		

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
SS		WO	00/23799	A	Steven Smith			
SS		EP	0378 383		Univ. Arizona			
SS		WO	93 03741		Yeda Res. & Dev.			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

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		Van Diest et al., Analytical Cellular Pathology, vol. 3, no. 4, 1991, pages 195-202. <i>Duplicate</i>	
SS		Bacus et al., Archives of Pathology and Laboratory Medicine, vol. 114, no. 2, 1990, pages 164-169	
SS		Bacus et al., American Journal of Pathology, vol. 137, no.1, July 1990, pages 103-111	

Examiner
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⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English translation is attached.

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88		Van Diest et al., Analytical Cellular Pathology, vol. 3, no. 4, 1991, pages 195-202.	
88		Muss et al., 1994, N. Engl. J. Med. 330:1260-66	
88		Kraus et al., 1989, Proc. Natl. Acad. Sci. U.S.A. 86:9193-97	
88		Mendelsohn, 1990, Semin. Cancer Biol. 1:339-44	
88		Hancock et al., 1991, Cancer Res. 51:4575-80	
88		Peles et al., 1991, EMBO J. 10:2077-86	
		Peles et al., 1991, EMBO J. 10:2077-86 Duplicate	
88		Arteaga et al., 1994, Cancer Res., 54:3758-65	
88		Pietras et al., 1994, Oncogene 9:1829-38	
88		Cobleigh et al., 1999, J. Clin. Oncol. 17:2639-48	
		DiGiovanna, 1999, PPO Updates: Princ. Practice Oncol. 10:1-9	
		Shak, 1999, Semin. Oncol. 26:71-77	
		Sliwkowski et al., 1999, Semin. Oncol. 26:60-70	
88		Vincent et al., 2000, Cancer Chemother. Pharmacol. 45:231-38	
88		Lowry et al., 1951, J. Biol. Chem. 193:265-275	

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Substitute for form 1449A/PTO		Complete if Known	
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SS		5,514,554		Sarah Bacus		
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Examiner Signature	<i>Anilene B. Grabel</i>	Date Considered	5/21/02
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		Arteaga et al., 1994, Cancer Res., 54:3758-65	
		Bacus et al., " <u>Potential use of Image Analysis for the Evaluation of Cellular Predicting Factors for Therapeutic Response in Breast Cancers</u> ", Analytical and Quantitative Cytology and Histology, vol. 19 no. 4, August 1997, pages 316-328.	
		Bacus et al., " <u>HER-2/neu oncogene expression, DNA ploidy and proliferation index in breast cancer</u> ", Analytical and Quantitative Cytology and Histology", vol. 14, no. 6, 1992 pages 433-445.	
88		Bacus et al., " <u>HER-2/NEU Oncogene Expression and DNA Ploidy Analysis in Breast Cancer</u> ", American Journal of Pathology, vol. 114, no. 2, 1990, pages 164-169.	
88		Bacus et al., " <u>HER-2/Neu oncogene expression and proliferation in breast cancer</u> ", American Journal of Pathology, vol. 137, no. 1- July 1990.	
88		Cobleigh et al., " <u>Multinational Study of the Efficacy and Safety of Humanized Anti-HER2 Monoclonal Antibody in Women Who Have HER2-Overexpressing Metastatic Breast Cancer That Has Progressed After Chemotherapy for Metastatic Disease</u> ", 1999, J. Clin. Oncol. 17:2639-48.	
88		Hancock et al., " <u>A Monoclonal Antibody against the c-erbB-2 Protein Enhances the Cytotoxicity of cis-Dianninedichloroplatinum against Human Breast and Ovarian Tumor Cell Lines</u> ", 1991, Cancer Res. 51:4575-80.	
88		Kraus et al., " <u>Isolation and Characterization of ERBB3, a third member of the ERBB/epidermal growth factor receptor family: Evidence for overexpression in a subset of human mammary tumors</u> ", 1989, Proc. Natl. Acad. Sci. U.S.A. 86:9193-97.	
88		Lowry et al., " <u>Protein Measurement with the Folin Phenol Reagent</u> ", 1951, J. Biol. Chem. 193:265-275.	

Dorlene B. Gabel

5/21/02

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88		Mendelsohn, "The epidermal growth factor receptor as a target for therapy with antireceptor monoclonal antibodies" 1990, Semin. Cancer Biol. 1:339-44.	
88		Muss et al., "c-erbB-2 Expression and Response to Adjuvant Therapy in Women with Node-Positive Early Breast Cancer", 1994, N. Engl. J. Med. 330:1260-66.	
88		Peles et al., "Oncogenic Forms of the Neu/HER2 Tyrosine Kinase are Permanently Coupled to Phospholipase Cy", 1991, EMBO J. 10:2077-86.	
88		Peles et al., "Isolation of the Neu/HER-2 Stimulatory Ligand: A 44 kd Glycoprotein That Induces Differentiation of Mammary Tumor Cells", 1992, Cell 69:205-16.	
88		Pietras et al., "Antibody to HER-2/neu receptor blocks DNA repair after cisplatin in human breast and ovarian cancer cell", 1994, Oncogene 9:1829-38.	
88		Van Diest et al., "Quantitation of HER-2/neu oncoprotein overexpression in invasive breast cancer by image analysis: a study comparing fresh and paraffin-embedded material", Analytical Cellular Pathology, vol. 3, no. 4, 1991, pages 195-202.	
88		Vincent et al., "Anticancer efficacy of the Irreversible EGFr Tyrosine Kinase Inhibitor PD 0169414 Against Human Tumor Xenografts", 2000, Cancer Chemother. Pharmacol. 45:231-38.	

Examiner Signature	<i>Grillene R. Babel</i>	Date Considered	5/26/02
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